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# Innovative Financial Technology Providers





Fintech is the common term used to refer to financial technology - which is a new industry that provides financial services with innovative software, cloud, artificial intelligence, and other technology applications. Many of **FinTech companies are revolutionizing the financial services industry.**

As our ongoing FinTech research commitment, we are evaluating and working with the most important FinTech companies as listed by the following sources:

- [AdvisorHub Fintech Product Directory](#)
- [The Forbes FinTech 50](#)
- [CIOReview 50 Most Promising FinTech Solution Providers](#)
- [FinTech Breakthrough Award Winners](#)
- [Built-In Fintech Companies & Startups](#)

- Below is our **AFIEA list of financial technology companies**. For each of these solution providers, we have had either consulting or working experiences related to research, evaluation, analysis, implementation, and support in recent years.
- We have worked closely with most of these technology vendors on the projects of API integration, competitive analysis, customer requirements, and strategic decision-making.
- The selected financial technology providers is listed below in alphabetical order for easier reference of our core expertise. For questions, please contact us through [LinkedIn](#) or [eMail](#).

Company	Summary	Highlight	Category	Remarks
	<p>ACI provides Universal Payments (UP) portfolio of solutions. It makes fast, simple and secure payments around the world.</p>	<p><u>UP Solutions</u></p>	<p><u>Payment</u></p>	<p><u>Public (ACIW) History</u></p>
	<p>Addepar empowers investors and advisors to navigate the</p>	<p><u>A single, scalable, and secure system for the financial world.</u></p>	<p><u>Reporting</u></p>	<p><u>Private History</u></p>

increasingly  
complex  
world of  
investment  
manageme  
nt.

 ADVICENT

NaviPlan®  
by Advicent  
empowers  
firms to  
cater their  
services to  
any client,  
from simple  
goal-based  
assessment  
s to  
advanced  
cash flow  
planning  
analysis.

NaviPlan  
equips  
financial  
professiona  
s with the  
ability to  
best serve  
any client  
who walks  
through  
their door.

Financial  
Planning

Private  
History

 AdvicePay

AdvicePay offers  
simple billing and  
payment solutions  
designed for fee-  
for-service  
financial planning.

AdvicePay  
offers the  
most  
convenient  
and  
customizabl  
e recurring  
or  
subscription  
billing  
options for

Billing

Private  
History

financial  
advisors  
offering fee-  
for-service  
financial  
advice.



AdvisorEngi  
ne builds  
powerful  
and  
intuitive  
technology  
for financial  
advisors.

Technology  
solutions  
cover every  
wealth  
manageme  
nt moment.

Wealth  
Manageme  
nt

Private  
History



It provides  
asset  
allocation,  
multi-  
security  
modeling,  
optimizatio  
n,  
illustrations,  
estimates &  
forecasting,  
cash-flow  
analysis,  
Monte Carlo  
simulation  
and  
portfolio  
analysis

Elegant  
user  
experiences  
are built on  
top of its  
robust API.  
Leading  
investment  
advisors  
trust  
AdvisoryWo  
rd web-  
applications  
to power  
their wealth  
manageme  
nt  
workflows.

Process  
Automation

Private  
History

software to  
the advisor  
community.



yHLSoft  
provides the  
Advyzo  
platform, an  
inclusive,  
scalable,  
cloud-based  
service that  
helps  
financial  
advisors  
grow their  
business  
efficiently  
and  
profitably.

Advyzo  
offers a  
comprehen  
sive set of  
applications  
that are  
integrated  
in a single  
platform.

Wealth  
Manageme  
nt

Private  
History



Aladdin is  
an end-to-  
end solution  
for  
investment  
professionals.  
Aladdin  
provides  
consistent,  
actionable  
data at  
every point

The Aladdin  
platform  
combines  
sophisticate  
d risk  
analytics wit  
h  
comprehen  
sive  
portfolio  
manageme  
nt tools,

Portfolio  
Manageme  
nt

Public (BLK)  
History

in the investment process.

trading,  
operations,  
compliance  
and  
accounting  
tools on a  
single  
platform.

APEX | Clearing

Apex offers Digital Wealth Solutions to give customers greater control over their investing, and help reach a new generation of digital investors.

Digital  
wealth  
solutions -  
enabling  
innovations  
in financial  
services.

Wealth  
Manageme  
nt

Private  
History

ARCONS  
TECHNOLOGY

Arcons billPort supports all kinds of variations firms use to specify billing rules: Fixed or

Calculating  
client fees  
instantly  
with any  
number of  
complex fee  
schedules  
and  
scenarios.

Billing

Private  
History

tiered fee rate, flat fees, discounts, minimum or maximum fees. Fees can be computed in advance or in arrears.



Argus Research provides systematic, independent research and analysis on U.S. equities to the professional market.

Six point system is a blend of top-down and bottom-up analysis, begins with Industry Analysis.

Research

Private History



Artivest fuses technological, regulatory and operational expertise

Optimize Alternative Investment Distribution.

Alternative Asset Investment

Private History

into a  
seamless  
marketing,  
investing,  
and  
reporting  
experience  
for  
distributing  
alternative  
investments  
.



AssetBook offers a portfolio management and reporting system. It helps advisory firms to operate differently and provides a broad range of independent solutions.

AssetBook works with CRM, Trading/Rebalancing, Financial Planning, Aggregation, and Custodian Platforms.

Reporting

Private History



AssetMark is a leading

Advisor-Focused

Wealth Management

Public (AMK)



provider of extensive wealth management and technology solutions that power independent financial advisers and their clients.

Technology. nt

History



BCA Research is a global investment research firm. Since 1949, it delivers leading-edge analysis and forecasts of all the major asset classes and economies.

RCA  
platforms  
combine its  
macroecon  
omic  
framework  
with  
industry  
expertise  
and  
fundamenta  
l company  
analysis to  
identify  
unique  
insights and  
investment  
opportuniti  
es.

Research

Private  
History

bill.com

Bill.com manages end-to-end financial workflows and to process payments. Its AI-enabled, financial software platform creates connections between businesses and their suppliers and clients.

Bill.com provides a centralized payables and receivables workspace that automates the end-to-end payment process.

Payment

Public (BILL) History

Black Diamond

Black Diamond integrates best-of-breed partner solutions for financial planning, CRM, and proposal generation to provide custom solution packages.

Complete Black Diamond Integration Network is comprised of over 45 partners and features data feeds from 900+ account

Wealth Management

Public (acquired by SS&C) History



Black Knight provides integrated software, data, and analytics solutions to the mortgage and consumer loan, real estate, and capital market verticals.

providers.

Black Knight's property data and analytics solutions draw from our industry-leading, comprehensive property database covering 99.9% of the U.S. population and households.

Lending

Public (BKI) History



Blueleaf helps advisors customize, schedule, and deliver client report automatically, easily integrate your own brand into

Sophisticated Automation for Wealth Management Firms for accelerated reporting, billing & invoicing, client engagement

Reporting

Private History

it, so that each client is constantly reminded of the value you're creating.

t, business intelligence and financial data processing.



Blue Prism is a Robotic process automation (RPA) software provider. Its products are designed to meet the strict security and compliance needs of the financial services industry.

Blue Prism's Digital Workforce automates many of the processes that hold financial organizations back.

Process Automation

Public (LSE: PRSM) History



Brinker Capital is an investment management firm. From wealth

One Wealth Life is an intuitive web experience designed to view

Asset Management

Private History

accumulation to risk-based and income-oriented strategies, it offers a range of dynamic, multi-asset class solutions to help financial advisors. [investment portfolio, including transactions and performance, link other financial accounts, securely upload and access documents, and view personalized reports.](#)



Broadridge supplies public companies with proxy statements, annual reports and other financial documents, and shareholder communications solutions.

[Delivering insights to wealth managers.](#)

[Data Aggregation.](#)

[Public \(BR\) History](#)



CAIS is a leading financial product platform offering wealth managers access to a diversified menu of funds and products.

Turnkey access to a growing selection of in-demand funds and products.

Asset Management

Private History



Charge is a startup payment company to use blockchain patterns to secure credit card payments online and reduce fraud for digital native companies.

Charge's solution offers proactive compliance to stop crime. The solution is ready for audits while preventing fraud and reduce cost.

Payment

Private History



Charles River automates

Charles River IMS is designed to

Asset Management

Public (acquired by STT)

and simplifies investment management on a single platform, from portfolio management and risk analytics to trading, with integrated compliance and data management.

automate front and middle office processes for buy-side firms to enable accurate and timely investment decision support.

History



Schwab Advisor Services include comprehensive reporting, innovative technology, practice management, and industry expertise for RIAs and

Flexible proprietary tools with leading third-party solutions to streamline routine tasks so you can focus on serving clients.

Wealth Management

Public (SCHW) History

institutional clients.



Citadel Securities is a global market maker across a broad array of fixed income and equity products.

Better pricing and a more efficient trading experience.

Market Maker for Asset Management

Private History



Clearstream is an international central securities depository (ICSD) based in Luxembourg. It provides post-trade infrastructure and securities services.

Clearstream offers a large number of cash and securities markets across the globe.

Post-trade Services for Asset Management.

Public (acquired by DBOEY) History



Comply Advantage helps uncover the risk of who you're doing business

Its suite of configurable cloud services integrates seamlessly to help

Compliance

Private History



with, using the world's only dynamic global risk database of people and companies.

automate  
and reduce  
the  
frustration  
of  
complying  
with  
Sanctions,  
AML and  
CFT  
regulations.



Credit Suisse is delivering financial services in innovative ways, and providing digital channels to help customers communicate, execute trades, and purchase financial products.

Everything under  
one roof: the  
software solution  
for independent  
wealth managers.

Wealth  
Managemen  
t

Public (CS)  
History



Cylance provides a solution that can block emerging threats on average 25 months before they

Unique AI  
approach –  
predicting  
and  
protecting  
against  
known and  
unknown  
malware,  
fileless

Security

Public  
(acquired by  
BB)  
History

are first detected in the wild.

attacks and zero-day payload execution.



Datadog provides monitoring and analytics platform for developers, information technology operations teams, and business users in the cloud through its SaaS platform.

Datadog provides comprehensive visibility into application performance, legacy and cloud infrastructure, and customer experience in one platform.

Data Aggregation

Public (DDOG) History



DocuSign pioneered the development of e-signature technology, and it helps organizations connect and

Offering everything you need to automate and connect your entire agreement process

Client Portal

Public (DOCU) History

automate  
how they  
prepare,  
sign, act on,  
and  
manage  
agreements  
.

doxim

Doxim helps  
clients in  
financial  
services to  
improve  
customer  
communica  
tions,  
maximize  
customer  
engagemen  
t, optimize  
and digitize  
all the  
records.

Doxim  
Analytics  
and  
Campaign  
Manageme  
nt solutions  
is designed  
to combine  
the power  
of a CRM  
with  
analytical  
customer  
data,  
insight, and  
marketing  
execution.

CRM

Private  
History

W DriveWealth

DriveWealth  
's platform  
enables  
companies  
of all sizes  
to create  
compelling

DriveWealth  
is the  
complete  
toolkit for  
designing  
best-in-  
class digital

User  
Interface

Private  
History

digital investment experiences.

investment products.



Earthport is a regulated financial institution under the auspices of the UK's Financial Conduct Authority (FCA), transforming the future of cross-border payments.

Earthport solves the complexities of cross-border payments. Allowing our clients to make payments to almost anywhere in the world.

Payment

Public (acquired by V) History



Ebix offers software development, customization, and consulting services to various entities in the insurance industry,

AnnuityNet is an order-entry platform for annuity transactions. Carriers post their annuity products and forms on the platform

Insurance

Public (EBIX) History



eMoney is a comprehensive and scalable financial planning technology that empowers advisors to meet a broad range of planning needs for their clients.

with a Web-based application process and a suitable questionnaire.

Solutions to Grow and Scale Your Business. Drive success through a comprehensive, collaborative wealth management platform.

Financial Planning

Private (acquired by Fidelity) History



Envestnet empowers financial advisors to deliver excellence in wealth management, to

Advisor Xi integrates portfolio and customer management applications into a web-

Wealth Management

Public (ENV) History

improve client outcomes, and to run advisor business more effectively. based platform, so advisors can focus on growing advisor business and delivering the highest levels of advice and service.



EquiLend's services are designed to increase efficiency and automation in the global securities finance marketplace.

Global trading platform (NGT), Post-Trade Suite, market data (DataLend), and EquiLend Clearing Services, all products deliver global access to liquidity, scalability and reduced risk.

Asset Management

Consortium History



Equisoft specializes in the design and delivery of advanced digital business solutions that optimize processes, increase revenue and enable growth for the life insurance and wealth management industries.

In addition to Equisoft Insurance and Equisoft Wealth, Equisoft also owns Grendel, which is a wealth management solution.

Insurance and Wealth Management

Private History



eVestment provides institutional investment data, analytics and market intelligence covering public and

eVestment brings transparency and efficiency to the global institutional market, equipping managers,

Data

Public (acquired by NDAQ) History

private  
markets.

investors  
and  
consultants  
to make  
data-driven  
decisions.



Sontiq's  
brands,  
EZShield  
and  
IdentityForc  
e, provide  
identity  
monitoring,  
restoration,  
and  
response  
solutions  
that  
empower  
customers  
to be less  
vulnerable  
to the  
identity  
theft and  
cybercrimes  
.

EZShield's  
Personal  
Products  
provide  
convenient  
and secure  
fraud  
solutions  
that are  
made to fit  
customers'  
busy lives  
and  
changing  
needs.

Security

Private  
History



FactSet  
creates  
flexible,  
open data

For  
applications  
, web  
portals, and

Research

Public (FDS)  
History



and statistical software packages, solutions for FactSet gives you instant access to normalized financial data and analytics through APIs and a direct that investors use to make crucial decisions. delivery of local copies of standard data feeds.



FDiTech is the FDIC agency's continued evolution and efforts to ensure safety and soundness in today's financial technology market place.

FDiTech will bridge the gap to allow FinTech industry to meet consumer demands while maintaining safety, soundness, and consumer protection.

Regulation

Government History



Fi360 is a leading provider of fiduciary-

Every Fi360 solution starts and ends with

Data Aggregation and Reconciliation

Public (acquired by BR) History

focused software, data and analytics for financial advisors and intermediaries across the retirement and wealth ecosystem.

[enabling advisors to put their clients' best interests first.](#)

[on.](#)



Informa provides financial institutions with the intelligent advantage to make informed decisions. It offers clients market competitive benchmarking, customer analytics, and specialized

[WealthIQ is a portfolio proposal tool. Asset allocation strategies, investment portfolio templates and investment plans are all easy to create.](#)

[Research](#)

[Public \(acquired by IFPJF\) History](#)

data.



Finastra provides the technology platform and components you need to succeed in the fast-changing world of retail banking.

FusionFabri  
c.cloud  
platform  
services  
Retail  
Banking,  
Payments,  
Lending,  
Corporate  
Banking  
and  
Treasury &  
Capital  
Markets  
through  
open APIs  
so third  
parties can  
develop  
applications  
on top.

Banking

Private  
History



First Data is a global leader in payments covering card issuer processing and network services; merchant acquiring and processing; and the cloud-based

Sales  
analysis for  
small  
business - It  
harnesses  
business'  
transaction  
data to help  
uncover  
exciting

Payment

Public  
(acquired by  
FISV)  
History

point-of-sale  
solution.

new  
opportunities so  
customers  
can better  
focus  
activities  
and grow.

 FIS

FIS is a FinTech leader to power businesses, across merchants, banking and capital markets. It helps clients run, grow and achieve more for their business.

With more than 50 years in the financial services technology market, FIS has experience you count on and learn from.

FinTech for Payment and Finance Services

Public (FIS) History

 fiserv.

Fiserv is a global leader in payments and Fintech: first across categories

The Unified Wealth Platform from Fiserv represents the integration

Wealth Management

Public (FISV) History

like global issuer processing, global merchant acquiring and U.S. account processing.

of its front-, middle- and back-office wealth management technology.



Fi-Tek is a financial technology company. Its products and solutions address complex needs of financial services providers in Wealth Management and Alternative Investments domain.

HedgeTek handles the investor accounting needs. It calculates a variety of fees across multiple structures for monetized, unitized & private equity funds, and generates statements for partner & fund level performances.

Reporting

Private History

**FIX Flyer**

Co-Pilot is

Wealth

Private



enables trading with multiple custodians and brokers through a centralized OMS and the Flyer Trading Network, an advanced, multi-asset trade and allocation network.

the next-generation, multi-asset portfolio trading platform for active wealth managers.

Management

History



Focus Financial Partners is a partnership of RIAs. Focus provides access to best practices, resources, and continuity planning for its partner firms with comprehensive

Focus partner firms maintain their operational independence, while they benefit from the synergies, scale, economics and best practices offered by Focus to

Wealth Management

Public (FOCS) History

sive wealth  
manageme  
nt services.

achieve  
their  
business  
objectives.

 Folio Investing

Create as  
many folios  
as you want  
and add up  
to 100  
stocks, ETFs,  
and/or  
mutual  
funds to  
each one.  
Buy, sell, or  
rebalance  
all at once  
with one  
transaction  
and one  
click.

Folios are  
virtual  
baskets  
containing  
stocks, ETFs,  
mutual  
funds, or  
any  
combinatio  
n you chose.

Portfolio  
Manageme  
nt

Private  
History

  
GDS LINK

GDS Link is a  
global  
leader in  
credit risk  
managemen  
t, providing  
tailored  
software  
solutions,  
analytical  
and

Its  
customer-  
centric risk  
manageme  
nt and  
process  
automation  
platforms  
are  
designed  
for the

Lending

Private  
History

consulting  
services.

modern  
lender in  
their pursuit  
to capitalize  
on the  
entire credit  
lifecycle.



GS Select  
offers  
securities-  
based lines  
of credit  
which  
allows  
borrowers  
to access  
cash  
without  
liquidating  
their  
investment  
portfolios.

Goldman  
Sachs  
provides a  
variety of  
services to  
bring  
investors  
together  
with  
projects and  
organizatio  
ns in need  
of capital.

Lending

Public (GS)  
History



The Great  
Bay  
provides  
real-time  
visibility into  
all  
network-  
connected  
endpoints  
across

Endpoint  
Visibility  
Benefits  
Everyone.

Security

Private  
History



complex  
wired and  
wireless  
networks,  
even  
identifying  
unmanaged  
and  
unauthorize  
d devices.



GreenSky's  
platform  
addresses  
an  
opportunity  
in mobile,  
online and  
in-store  
point of sale  
finance,  
driving  
significant  
value for  
merchants,  
banks and  
consumers.

It leverages  
a B2B2C  
customer  
acquisition  
model  
resulting in  
strong  
recurring  
revenues,  
low  
customer  
acquisition  
costs and  
high  
merchant  
retention  
rates.

Banking

Public  
(GSKY)  
History



We make  
401(k) plans  
easy  
by integrati  
ng with

Choose  
from  
over 40  
mutual  
funds from

Retirement

Private  
History

popular payroll providers, onboarding your employees, and handling plan administration and compliance.

Vanguard and other low-cost providers.  
Or it will help each employee pick a portfolio that fits their goals.



HedgeGuard provides front-to-back, highly configurable Investment Management Software and powerful analytics.

By combining our front-to-back portfolio management and middle office outsourcing service,  
HedgeGuard remove the fractures that exist with external tech providers.

Portfolio Management  
nt

Private History



Horizon is a provider of modern goals-based investment management. It works in partnership with financial advisors to deliver investment strategies that align clients' wealth with their life goals.

Goals-based investing separates itself from traditional investing because it focuses on the individual's real-life goals rather than a market benchmark.

Financial Planning

Private History



Hueler provides two services to the defined contribution market: the Analytics Stable Value Fund Comparative Universe and the

Income Solutions is a non-exclusive, independent, institutional annuity platform offered to defined contribution plans.

Retirement

Private History

Income  
Solutions®  
Lifetime  
Income  
Annuity  
Platform.



Human  
Interest  
works with  
HR and  
payroll  
providers to  
manage  
401(k) or  
403(b) plans  
with  
automated  
administrati  
on, payroll  
sync, and  
record  
keeping.

Human Interest  
offers a low-cost,  
full-service 401(k)  
to small and  
medium sized  
businesses.

Retirement

Private  
History



Hyperwallet  
offers value-  
added tools,  
flexible  
payment  
architecture  
, and  
automated  
operations  
work

Payee  
Verification  
Widget can  
be  
integrated  
into your  
existing  
web  
application.

Payment

Public  
(acquired by  
PYPL)  
History

together to provide you and your payees a seamless payout experience.



The platform offers advisors and their clients access to a curated menu of private equity and hedge funds at lower minimums with a full suite of due diligence and administrative support in a secure digital environment.

Turnkey solution of alternative investments for advisors and wealth management firms.

Alternative Asset Management

Private History

iGrafx®

iGrafx is a leader in digital business transformation software, whose solutions allow customers to collect, model and make decisions effectively, integrating with existing technology stacks.

[iGrafx help](#)  
[optimize financial service delivery, align process performance to customer objectives and comply with regulations, through an organization-wide platform for process excellence.](#)

[Process Automation](#)

[Private History](#)

▼ INNOVEST

Innovest provides independent investment consulting services to retirement plans, nonprofits and individuals.

[Benefit plans for public safety departments, municipalities, school districts, higher education institutions, religious](#)

[Retirement](#)

[Private History](#)

organizational  
s, medical  
and health  
organizational  
s, unions  
and  
corporations  
s.



Innovest supports wealth management and trust accounting, custody, payments, unique assets, trade execution, and other related solutions and managed services.

InnoTrust  
solution  
offers a  
better way  
to support  
the  
accounting  
and  
reporting  
needs of  
trust  
companies,  
banks,  
private  
banks, and  
retirement  
plan  
administrators  
s.

Wealth  
Management  
and

Private  
History



Insurance Technologies provides carriers and distributors

FireLight  
transforms  
the entire  
sales  
process

Insurance

Private  
History

the sales capabilities and tools to create automated and cohesive experiences for insurance advisors and clients. [across all lines of business with an end-to-end insurance sales platform to create cohesive and influential sales and buying experiences.](#)



IB provides automated trade execution and custody of securities, commodities and foreign exchange around the clock on over 125 markets in numerous countries and currencies. [Interactive Brokers clients can build their own trading applications, obtain market and chart data and view IBKR account detail using our API solutions.](#)

[User Interface](#)

[Public \(IBKR\) History](#)



**intuit.**

Intuit provides financial management and compliance products and services for consumers, small businesses, self-employed, and accounting professionals.

Whether you're a consumer, self-employed, or a small business owner, Intuit is in your corner to help make your dreams of prosperity come true.

Reporting

Public (INTU) History

**iPipeline**

iPipeline provide process automation and seamless integration between every participant in insurance ecosystem including carriers,

Through SSG Digital, end-to-end platform, we accelerate and simplify sales, compliance, operations and support.

Insurance

Public (acquired by ROP) History

agents,  
advisors,  
and their  
consumers  
on a global  
basis.



InvestCloud digitizes client onboarding, engagement, advice, reporting and management in cloud-based apps, giving managers a comprehensive view of their clients.

InvestCloud portal enables re-platforming and provides a huge library of APIs and interfaces to empower technology teams at financial institutions to build better product.

Process Automation

Private History



InvestEdge is a leading provider of innovative advisor solutions to financial institutions.

Its integrated solutions provide a breadth of tools that simplify complicated wealth

Wealth Management

Private History

managemen  
nt  
processes  
and reduce  
overall  
operational  
risk.



Jane Street is a trading firm that operates around the clock and around the globe, trading a wide range of financial products.

Jane Street  
is a global  
liquidity  
provider  
specializing  
in equities,  
ETFs, bonds,  
and options.

Trading

Private  
History



Junxure's CRM software helps financial advisors deepen client relationships and run better businesses.

Harness the  
power of  
meaningful  
data, forge  
deeper  
client  
relationship  
s and scale  
using smart  
automation.

CRM

Private  
(acquired by  
AdvisorEngi  
ne)  
History



Laser App fills your financial industry forms for you, saving you tremendous amounts of time processing your paperwork.

The solution collects the most financial industry forms and fills them out automatically with more client data than any other system.

Automation

Private History



Laserfiche is a global provider of intelligent content management and business process automation.

Through powerful workflows, electronic forms, document management and analytics, its platform eliminates manual processes and automates repetitive tasks.

Automation

Private History

LexisNexis

Its

Compliance

Public



provides data and technology solutions to help businesses and government al entities reduce risk and improve decisions.

innovative solutions enable organizations to manage risks like identity theft, fraud, money laundering and terrorism, and prevent financial crimes, and insurance and government benefit scams.

(Acquired by RELX) History



Loring Ward builds portfolios that aim to capture the returns of global stock and bond markets and help investors reach their

Since trading, fees and expenses can have a real impact on performance, all Loring Ward portfolios are

Asset Management

Private History

long-term goals. [managed to help control risks, control costs and minimize taxes.](#)



The company delivers information, analytics and solutions to customers to improve their operational efficiency and providing deep insights that lead to well-informed, confident decisions.

[Markit's leading data, analytics and workflow solutions enhance business-critical functions from portfolio management to reporting to regulatory compliance.](#)

[Data Analysis](#)

[Public \(INFO\) History](#)



MarketAxess operates an electronic

[Connect directly to investors and dealers](#)

[Trading](#)

[Public \(MKTX\) History](#)

trading platform that enables fixed-income market participants to trade corporate bonds and other types of fixed-income instruments worldwide.

around the globe for alternative sources of liquidity and better pricing while saving costs.



The Mirador Network is a digital lending marketplace connecting small business organizations with lending options. It enables banks to profitably decision loans within

The Mirador digital loan application platform enables financial institutions to improve business loan application completion rates and decrease the time spent in the process.

Lending

Private History

24 hours or less.



Mitek develops mobile image capture and identity verification software solutions which are embedded in native mobile apps and mobile optimized Websites.

With  
hundreds of  
AI-based  
analytics,  
algorithms  
and  
biometric  
facial  
comparison,  
Mobile  
Verify is  
a next  
generation  
digital  
identity  
verification  
service.

Banking

Public  
(MITK)  
History



MoneyGuidePro provides sophisticated solutions and smart assumptions to help advisors navigate the complex financial elements of

Everyone  
needs and  
deserves a  
quality  
financial  
plan.

Financial  
Planning

Public  
(acquired by  
ENV)  
History



their clients' lives.



Morningstar provides independent investment research services. It offers a line of data, research, and software tools on a range of investment offerings.

Great investing advice makes everyone shine.

Research

Public (MORN) History



NICE helps detect, prevent, and investigate money laundering, fraud, and compliance violations with a holistic view of risk across

NICE empowers businesses to deliver consistent and personalized experience, and provides fraud prevention, anti-money

Compliance

Public (NICE) History

financial  
organizatio  
n. [laundering,](#)  
[brokerage](#)  
[compliance.](#)



OpenInvest  
offers easy  
and elegant  
Separately  
Managed  
Account  
platform  
which  
seamlessly  
creates low-  
cost  
investment  
portfolios  
tailored to  
the causes  
clients  
cherish  
most, while  
tracking  
market  
indices.

[OpenInvest'](#)  
[s](#)  
[technology](#)  
[helps](#)  
[advisers](#)  
[grow by](#)  
[offering](#)  
[value-](#)  
[added](#)  
[services to](#)  
[every client.](#)

[Portfolio](#)  
[Managemen](#)  
[t](#)

[Private](#)  
[History](#)



Oranj  
offers an  
end-to-end  
wealth  
managemen  
t solution  
that helps  
independen

[Oranj](#)  
[simplifies](#)  
[advisor](#)  
[technology](#)  
[without](#)  
[sacrificing](#)  
[sophisticati](#)  
[on or](#)

[Wealth](#)  
[Managemen](#)  
[t](#)

[Private](#)  
[History](#)

t financial advisors streamline business and create a collaborative digital experience for their clients.

increasing costs to help advisors spend less time managing and more time advising clients.



Orion is an intuitive advisor technology company. It effectively manages your client relationships and enable business growth with a platform of advisor technology that works together, seamlessly.

Orion Portfolio Solutions is a turnkey asset management platform.

Portfolio Management

Private History



Panoramix was

Panoramix, with its

Process Automation

Private History

developed by Sapphire Software Services, a custom software and B2B automation company founded in 2003. Sapphire specializes in business application software development for companies in the financial services sector.

innovative  
Sapphire  
Data  
Engine,  
provides  
efficiencies  
for  
performanc  
e reporting  
and billing  
that  
translate  
into lower  
costs and  
high value  
for the  
advisor.



PayPal creates better ways to manage and move money, and offers choice and flexibility when

Whether  
you sell  
from a  
website, a  
retail  
location, a  
social  
media page,  
or even a  
tent at a

Payment

Public  
(PYPL)  
History

sending payments, paying or getting paid. Available in more than 200 markets around the world.

farmer's market, PayPal has got a payment solution for your small business.



Pega's low-code application development platform allows enterprises to quickly build and evolve apps to meet their customer and employee needs and drive digital transformation on a global scale.

Pega starts with cases which are integrated across systems, automatically pulling in the data to automate everything from simple tasks to unpredictable processes.

Process Automation

Public (PEGA) History



PenChecks Trust is the

Missing Participant,

Retirement

Private History

largest independent provider of fully compliant retirement plan distribution services. [Default IRAs, and Uncashed Checks solutions help plan providers, plan sponsors and third-party administrators reduce risk, lower administrative costs and increase efficiency.](#)



Pershing provides global financial solutions to advisors, broker-dealers, family offices, fund managers, registered investment advisor firms and

[Lockwood Managed360](#) is a turnkey solution that can transform the way advisors deliver managed accounts to clients.

[Wealth Management](#)

[Public \(acquired by BK\) History](#)

wealth  
managers.



Plaid builds the technical infrastructure APIs that connect consumers, traditional financial institutions, and developers. It adds insights to the data access through its suite of analytics products.

Plaid offers the easiest way for users to connect their bank accounts to an app.

User Interface

Public (acquired by V) History



PlanTools Investment Management system is an integrated platform of multiple plan metrics that

PlanTools provides advisors with the technology to evaluate all universes of funds against different

Reporting

Private (acquired) History

has been used by advisors since 2002. The system offers over 70 different reporting options.

standards in the form of the Investment Policy Statement.



Practifi is the business management platform for financial advice. Built on the Salesforce platform, Practifi is secure, reliable and massively scalable.

Practifi gives instant access to assigned clients, key dates, tasks and workflows unique to each team member based on his or her role.

CRM

Private History



PreciseFP is a client profile builder and forms tool and allows advisors to create

RIAs can use PreciseFP's library of forms created by industry experts, or

Data Aggregation

Private History



subject-specific forms that solicit feedback from clients and prospects on topics that resonate with them.

design own forms for the unique needs of clients and prospects.



Promontory Interfinancial Network provides tools that enable financial institutions to offer access to multi-million-dollar FDIC insurance, manage liquidity, grow loans, and manage asset concentrati

With ICS and CDARS, Promontory Network members can offer customers a ccess to multi-million-dollar FDIC insurance through a single relationship.

Reciprocal Deposit

Private History

ons.



Provenir makes risk analytics faster and simpler for financial institutions. It helps clients process more applications with greater efficiency and increase sales conversions with instant, real-time risk decisioning.

The Provenir risk analytics and decisioning platform is a powerful orchestratio  
n hub that can listen to any channel, integrate with any data service and operationali  
ze any analytic model.

Lending

Private History



Quandl is the premier source for financial, economic, and alternative datasets. It also delivers

Quandl Alternative Data brings undiscovered data from non-traditional publishers to investors

Research

Public (acquired by NDAQ) History

market data seeking  
from unique,  
hundreds of predictive  
sources via insights.  
API, or  
directly into  
Python, R,  
and Excel.



QwickRate  
offers  
community  
financial  
institutions  
a cost-  
effective  
way to gain  
direct  
access to a  
nationwide  
CD market  
to help  
proactively  
manage  
their  
primary and  
contingency  
liquidity  
needs.

CD  
Marketplace  
is a premier  
online  
source  
for non-  
brokered  
CD funding  
and  
investing.

Reciprocal  
Deposit

Private  
History



Redtail  
Technology  
is a leader in  
Web-Based

Unlike other  
CRM  
solutions,  
Redtail

CRM

Private  
History

Client Relationship Management solutions for the financial adviser. priced its CRM solution per database, allowing up to 15 users, all for the same low monthly subscription

:



Refinitiv is a global providers of financial markets data and infrastructure, serving 40,000 institutions. It provides data and insights, trading platforms, and open technology platforms.

Combining over 160 years of Reuters heritage with the latest technology and industry innovation.

Data Aggregation

Public (owned by TRI and BX) History



Reich & Tang provides

Two distinctive solutions:

Reciprocal Deposit

Private History

balance sheet and cash management solutions to US banks and other financial institutions.

Insured Deposits and the Demand Deposit Marketplace (DDM) program.



Founded in 2015, RightCapital was created with the goal of developing next-generation financial planning software that advisors can use to simplify the financial planning experience for their clients.

The tool is interactive, easy to integrate to generate plans that can be shared by advisors and clients. It is built on a rigorous and robust calculation engine that generates accurate results quickly.

Financial Planning

Private History

RIMES

RIMES

Data

Private



provides specialist managed data services and regtech solutions to asset managers, owners, servicers and banks worldwide.

delivers  
cloud-based  
services  
that help  
our clients  
reduce the  
cost and  
operational  
risk of  
managing  
financial  
data.

Analysis

History



Riskalyze enables investment advisors to capture a quantitative measurement of client risk tolerance, and use that data to capture and meet expectations and quantify suitability.

Drive  
alignment  
between  
clients and  
portfolios.

Portfolio  
Management

Private  
History

SAF

SAF

Alternative

Private



Platform connects institutional investors and asset managers, streamlines the process, and improves efficiencies while interoperating with the alternative investment ecosystem.

Platform automates interactions between investors and alternative asset managers, digitize workflows, and meet daunting compliance requirements.

Asset Management

History



Salesforce is a CRM solution that brings companies and customers together. It gives all departments, including marketing, sales, commerce, and service, a single,

Salesforce cloud-based CRM applications for sales, service, marketing, and more can simply log in and start connecting to customers.

CRM

Public (CRM) History

shared view  
of every  
customer.

SAPIENS

Sapiens offers digital software platforms, solutions and services in policy administration, billing and claims; underwriting, illustration and electronic application.

Sapiens' digital offerings include customer and agent portals, a digital hub with an API layer and digital studio, and an advanced analytics solution.

Insurance

Public (SPNS) History

Sawtooth

The Sawtooth offers a cloud-based technology platform. It begins with Salesforce integration at the center, and then attaches po

The platform begins with prospecting and a proposal generator, and extends into client service with trading, billing, compliance,

Wealth Management

Private History



portfolio  
accounting,  
financial  
planning,  
and trading  
components.

and  
reporting  
solutions.

SEI New ways.  
New answers.™

SEI is a  
leading  
global  
provider of  
investment  
processing,  
investment  
management, and  
investment  
operations  
solutions  
that help  
manage  
wealth.

SEI brings  
together  
leading-  
edge  
thinking,  
investment  
strategy,  
technology  
and  
operations  
into holistic  
solutions.

Wealth  
Management  
and

Public  
(SEIC)  
History

 Sentio

Sentio is a  
financial  
research  
platform  
designed to  
empower  
equity and  
corporate  
analysts. It  
increases

Intelligent  
Document  
Search.

Research

Private  
History

efficiency  
and boosts  
alpha  
generation  
for  
investment  
managers.

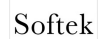


Smartkarm  
a's platform  
enables  
buy-side  
clients to  
streamline  
their  
communica  
tion and  
data  
reporting,  
and allows  
them to set  
their own  
real-time  
alerts,  
customise  
their  
reading  
lists, and  
contact  
Insight  
Providers.

Its research  
includes  
areas  
under-  
reported by  
mainstream  
market  
coverage,  
including  
Event-  
Driven, IPOs  
&  
placements,  
and  
small/mid-  
cap  
equities.

Data  
Analysis

Private  
History



Softek's  
private

Softek com  
bines data

Reporting

Private  
History



cloud service delivers an innovative suite of integrated post trade solutions for the global financial markets.

managemen  
nt,  
regulatory  
capital,  
credit, and  
risk  
reporting in  
near real  
time.



Sophos provides cloud-enabled cybersecurity solutions. Its partner dashboard can manage multiple customer installations, respond to alerts, enforce two-factor authentication, etc., via a single, intuitive

Synchronize  
d Security  
platform pr  
events  
breaches  
and  
automates  
incident  
response by  
integrating  
endpoint,  
network  
and cloud  
solutions to  
deliver  
reduced  
risk,  
increased  
visibility and  
improved  
overall  
security

Security

Public  
(SPHHF)  
History



interface.

ROI.

Square started with a little white card reader but haven't stopped there. Its new reader helps sellers accept chip cards and NFC payments. Its Cash App lets people send money to friends and family instantly.

Accept every payment quickly, easily, and securely.

Payment

Public (SQ) History



SRS Acquiom offers innovative deal management solutions to ensure deal making experience is seamless,

The solution removes headaches and roadblocks that slow the transaction process.

Process Automation

Private History

streamlined  
and  
efficient.



Through innovation and strategic acquisitions, SS&C has assembled a comprehensive selection of financial technology and service capabilities, backed by industry-leading expertise.

SS&C's  
integrated  
solutions  
encompass  
virtually  
every  
aspect of  
wealth  
managemen  
t,  
including b  
oth cloud-  
delivered  
and onsite  
installation  
options.

Wealth  
Managemen  
t

Public  
(SSNC)  
History



StarCompliance is a leading provider of compliance and regulatory solutions for financial services and

The STAR  
application  
is an all-in-  
one  
solution,  
designed to  
improve the  
efficiency  
and  
effectiveness

Compliance

Private  
History

enterprise firms. s of regulatory compliance processes.

STONECASTLE

StoneCastle sources and introduces cash balances from institutions to community banks, providing a largely untapped and stable pool of liquidity as well as fixed income investments

StoneCastle was founded to build a bridge between institutional investors and the community banking industry through funding, liquidity and capital solutions.

Reciprocal Deposit

Private History



Supernova offers the industry's first cloud-based, fully-customizable, end-to-end

Underwriting Engine, Collateral Management, and Payment Gateway can be

Lending

Private History

software solution to automate securities-based lending from origination through the life of the loan.

delivered  
stand-alone,  
or as part of  
integrated  
solutions.

SYMMETRY

Symmetry Partners designs and manages sophisticated portfolios built on logic, empirical evidence and highly respected academic research.

A family of  
portfolios  
allow  
advisors to  
assist  
investors in  
selecting a  
factor-  
based  
approach  
that will  
best reflect  
their  
current and  
future  
investment  
needs.

Asset  
Managemen  
t

Private  
History

tbS TOTAL BANK SOLUTIONS

TBS' FDIC insured deposit program

Through the  
IDP from  
TBS, your  
institution

Reciprocal  
Deposit

Private  
History

(IDP) is designed to provide clients with the benefit of extended FDIC insurance and for participating banks, a stable, diversified and cost effective source of deposit funding.

receives a stable and predictable base of deposits at a level you determine, and at an indexed cost from sources seeking to provide extended FDIC insurance for their clients.



Thomson Reuters helps professional s make confident decisions and run better businesses in law, tax, compliance, government , and media.

Artificial Intelligence plays a critical role at Thomson Reuters. It is embedded in how they create, enhance, connect and deliver trusted information to their

Data Analysis

Public (TRI) History



customers.



Tradeweb offers institutional, wholesale and retail market participants liquidity, technology and data solutions that deliver better price discovery, order execution and trade workflows.

Tradeweb Direct is one of the largest fixed income marketplaces in the U.S. for financial advisors, RIAs, traders and buy-side investors in Municipal Bonds, Corporate Bonds and CDs.

Trading

Public (TW) History



Tradier provides financial platforms with trading, account management, and market-data for Tradier Brokerage

API access is available to every Tradier Brokerage account holder at no cost.

Client Portal

Private History

accounts through our robust APIs.

 transpay

Transpay is a service from Transfast, a global cross-border payments network provider, serving over 125 countries across Asia, Europe, Africa, Americas and Australia.

Direct integrations with 300+ banks and other financial institutions enable P2P, B2C, and B2B payments services via APIs, SFTP, web and mobile product applications

Payment

Public (acquired by MA) History

 TWO SIGMA

Two Sigma is a unique investment manager. It follows principles of technology and innovation as much as

Two Sigma uses machine learning, distributed computing and other technologies to find connections

Portfolio Management

Private History

principles of investment management.

in the world's data.



UiPath is a leading platform for enterprise Robotic Process Automation (RPA).

Its software robots emulate and execute repetitive processes, boosting business productivity, ensuring compliance and enhancing customer experience.

Process Automation

Private History



Unqork lets you build complex software without having to write a single line of code. The result is faster time to market, improved

Development is entirely visual with Unqork. Customers can build complex applications much faster than conventional solutions.

Process Automation

Private History

quality, and reduced cost that's just not possible with conventional tools.



Velocity Solutions is the leading provider of revenue enhancement solutions to regional and community banks and credit unions.

Velocity uses digitization and big data to drive new accounts, generate new non-interest income, offer overdraft services, digitize consumer and business lending, and manage risk and compliance.

Banking

Private History

The

The platform

Portfolio

Private



Vestmark platform helps wealth management organization and advisors deliver better investor outcomes by simplifying the construction and management of highly-tailored portfolios.

m lets you start with one asset allocation... built out with securities, SMAs, models and cash, all in flexible sleeves, each with different trading discretion, all in a single custodial account.

Management

History



Vestwell is a new kind of digital retirement platform transforming the way plans are offered and administered — for the

From proposals and onboarding to administration and compliance, Vestwell puts powerful

Retirement

Private History

benefit of advisors, employers, and employees alike.

[technology](#)  
[and](#)  
[processes to](#)  
[work](#)  
[targeting](#)  
[common friction](#)  
[points.](#)



Virtu Financial provides deep liquidity and competitive bids and offers in over 25,000 securities and unrivaled execution technology to create efficient and stable markets worldwide.

[Trading](#)  
[Analytics -](#)  
[Know more,](#)  
[trade](#)  
[smarter. A](#)  
[market](#)  
[maker](#)  
[backed by](#)  
[world-class](#)  
[quantitative](#)  
[analytics.](#)

[Trading](#)

[Public](#)  
[\(VIRT\)](#)  
[History](#)



Wealthbox is a web- and-mobile CRM application

[Wealthbox](#)  
[is known for](#)  
[its modern](#)  
[product](#)  
[design that](#)

[CRM](#)

[Private](#)  
[History](#)

for financial professional s. Integrate d with leading broker-dealers and wealth-tech partners.

results in a powerful yet simple user-experience for RIA firms of all sizes.

wirecard

Wirecard is a digital platform in the area of financial commerce. It offers both businesses and consumers value-added services for digital payments: online, mobile, and at the point of sale.

Wirecard offers a wide range of mobile payment solutions, including electronic payment with QR codes, HCE or NFC payment solutions, mobile wallets or in-app payment.

Payment

Public (WCAGY) History

worldpay

Worldpay is the world's largest

Simplified payments acceptance

Payment

Public (acquired by FIS)

processor and payments advocate. It helps business to get the right technology whether it take payments online, in-store, or both.

to help you keep your edge and improve your bottom line, easily and securely.

History



Xantrion provides enterprise-grade IT services to mid-sized businesses. It is a managed cybersecurity service provider based in California.

With Xantrion Managed IT, its team takes full responsibility for the entire IT infrastructure of a mid-size business, including implementing a turnkey cybersecurity program.

Security

Private History



YCHARTS

YCharts is a  
fundamenta  
l research  
platform. Its  
platform  
includes  
tools that  
are easy to  
use and  
data that is  
reliable and  
timely.

Granular  
market data  
and an  
intuitive,  
web-based  
platform  
combine to  
drive in-  
depth,  
comprehen  
sive analysis  
with  
groundbrea  
king ease-  
of-use.

Research

Private  
History

All AFIEA consulting projects are conducted based on public information only.

Proceeds from AFIEA.com consultancy are used for supporting [AFIEA.org](https://www.afiea.org).

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dedicated to 100% free investor education without advertisement.

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